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Abstract: INTRODUCTION Because spermatocytic tumors of the testis are rare, only limited evidence exists regarding the malignant potential and the optimal management of localized and metastatic disease. MATERIALS AND METHODS We performed a systematic review through MEDLINE, EMBASE, Scopus, Cochrane Database of Systematic Reviews and Web of Science to identify reports including patients with testicular spermatocytic tumors. RESULTS From originally 7863 studies, we extracted data of 146 patients of which 99% were treated with radical orchiectomy. Metastases in patients with initially localised disease were diagnosed in 7% of patients and detected after a median follow-up of 5.5 months (range 2-21 months). Patients with aggressive histology (sarcoma or anaplastic subtype) were more likely to have metastatic disease (6/124 (5%) vs 9/22 (41%), $p < 0.001$). Patients with metastatic disease had larger primary tumors (92.5 vs 67.5 mm, $p = 0.05$). Life expectancy in patients with metastatic disease ranged from 1 to 25 months. CONCLUSION The published literature does neither support the use of testis sparing surgery nor adjuvant therapy. Patients with aggressive variants or larger tumors were more likely to have metastases and develop recurrences within the first few years. Patients with metastatic disease have a limited life expectancy and metastatic spermatocytic tumors are not as responsive to chemotherapy as germ cell cancers.

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A Systematic Review of Treatment Outcomes in Localised and Metastatic Spermatocytic Tumors of the Testis

Running head: Testicular spermatocytic tumors: Systematic-review of the Published Literature

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Abstract

Introduction: Because spermatocytic tumors of the testis are rare, only limited evidence exists regarding the malignant potential and the optimal management of localized and metastatic disease.

Material and Methods: We performed a systematic review through MEDLINE, EMBASE, Scopus, Cochrane Database of Systematic Reviews and Web of Science to identify reports including patients with testicular spermatocytic tumors.

Results: From originally 7863 studies, we extracted data of 146 patients of which 99% were treated with radical orchiectomy. Metastases in patients with initially localised disease were diagnosed in 7% of patients and detected after a median follow-up of 5.5 months (range 2-21 months). Patients with aggressive histology (sarcoma or anaplastic subtype) were more likely to have metastatic disease (6/124 (5%) VS 9/22 (41%), $p < 0.001$). Patients with metastatic disease had larger primary tumors (92.5 vs 67.5mm, $p = 0.05$). Life expectancy in patients with metastatic disease ranged from 1 to 25 months.

Conclusion: The published literature does neither support the use of testis sparing surgery nor adjuvant therapy. Patients with aggressive variants or larger tumors were more likely to have metastases and develop recurrences within the first few years. Patients with metastatic disease have a limited life expectancy and metastatic spermatocytic tumors are not as responsive to chemotherapy as germ cell cancers.

Keywords: testicular cancer, germ cell tumor, spermatocytic tumor, spermatocytic seminoma, systematic review, oncology

Introduction

Spermatocytic tumors are extremely rare and occur exclusively in the testis. Due to a morphological overlap to classic seminoma, they were named as "spermatocytic seminomas" in the past. However, the most recent World Health Organization (WHO) Classification of Tumors of the Urinary System and Male Genital Organs distinguished between spermatocytic tumors and seminomas because of several important differences (Moch et al. 2016). First, spermatocytic tumors are not derived from germ cell neoplasia in situ and lack 12p alterations (Rosenberg et al. 1998). Second, spermatocytic tumors show a unique amplification of chromosome 9 corresponding to the DMRT1 gene and are never associated with other forms of germ cell tumors (Looijenga et al. 2006). Third, seminomas/dysgerminomas originate from primordial germ cells or gonocytes expressing stem cell-specific genes (e.g., POU5F1, PROM1/CD133, and ZFP42), whereas spermatocytic tumors originate from primary spermatocytes and that have at least initiated prophase meiosis (expressing TCFL5, CLGN, and LDHc) (Looijenga et al. 2006).

Most spermatocytic tumors of the testis are considered benign tumors. As spermatocytic tumors are extremely rare, there is paucity of data on the optimal management of patients with localized or metastatic disease. It is unclear if testis sparing surgery (TSS) is sufficient, or if radical orchiectomy should be performed, if adjuvant treatment options may decrease relapse rates, which therapy should be recommended in the metastatic setting and how patients should be followed-up. We conducted a systematic literature review to identify and summarize all information on the clinical presentation, clinicopathological risk factors, available treatments, and survival information of patients with spermatocytic tumors.

Material and Methods

Data acquisition and search strategy

This review was performed according to Preferred Reporting Items for Systematic Reviews (PRISMA) statement (Moher et al. 2009; Moher et al. 2015). The review protocol was published in PROSPERO database (<http://www.crd.york.ac.uk/PROSPERO>; registration number CRD42018108563).

A literature search was conducted using the electronic databases MEDLINE, EMBASE, Scopus, Cochrane Database of Systematic Reviews and Web of Science up to May 5th, 2018. After discussion, a clinical medical librarian applied a broad approach using several combinations, synonyms and related search terms to “Spermatocytic tumor” or “Spermatocytic seminoma”. To capture all relevant literature, no interventions, controls or specific outcomes were predefined in the search strategy. Non-English literature was excluded unless the abstract was available in English or the full text in French, Spanish, Italian or German. Additionally, the reference lists of the identified publications were screened manually to identify further studies. The detailed search strategy is shown in Appendix 1.

Duplicate articles were filtered using the “close match function” of Endnote and manual deduplication. Two authors (JG, KS) screened the titles and abstracts independently to select publications that fulfilled the eligibility criteria and came to a consensus about the inclusion of those studies. Data of the same study that appeared in multiple publications were counted only once in the synthesis. Disagreements were discussed and resolved by consensus or by third-party arbitration (CDF).

Types of studies and participants

We included any case reports, clinical case series and other reports describing patients with testicular spermatocytic tumors.

Types of outcome measures included

Studies reporting clinicopathological variables, treatments of local or metastatic disease, site of metastases, disease-free, cancer-specific or overall survival were eligible for this review.

Assessment of risk of bias

The quality of the included articles was assessed based on the Cochrane Tool of Risk of Bias and the ROBINS-I assessment tool for non-randomized studies. In case of disagreement, a consensus will be found through discussion. The evaluated domains included: performance bias, detection bias, attrition bias, incomplete outcome data and selective outcome reporting.

Data Extraction

A data extraction sheet (based on the Cochrane Consumers and Communication Review Group's data extraction template) was developed and adapted after pilot testing on ten randomly selected eligible studies. Data on study design, patient characteristics, clinicopathological risk factors, treatment and follow-up were collected. One investigator (KS) extracted the data, and a second one (JG) reviewed the extracted data. Disagreements were discussed and resolved by consensus or by third-party arbitration (CDF). Given the fact that only retrospective case series and reports were identified we refrained from a study-base meta-analysis but whenever feasible, data was extracted on an individual patient level.

Statistical Analysis

Descriptive data are presented as median, interquartile range (IQR) and range. To estimate medians using medians of cohort studies and individual patient age of single case presentation we used weighted medians. The results for continuous normally distributed variables are expressed as means \pm standard deviation (SD) and compared using Student's T-tests Continuous non-normally distributed variables are presented as median and interquartile ranges (IQR) and compared using Wilcoxon rank-sum tests. The results for categorical variables are presented

as percentage. A p-value of <0.05 was considered significant. All statistical tests were two-sided.

All statistical tests were two-sided. All p-values <0.05 were considered statistically significant and all analyses are considered exploratory and hypothesis generating.

Results

Studies

After deduplication, 3542 publications met the initial search criteria of which 62 publications were eligible for full text review after title and abstract had been checked. We screened the full text of 62 manuscripts and finally included 56 studies and 146 patients (Figure 1, Supplementary table 1). There were no randomized controlled or prospective trials and all includes case reports and series were rated as low quality of evidence.

Demographics, clinical symptoms and laboratory findings

Median patient age at diagnosis was 56.5 (range 25-94) years. The vast majority presented with testicular pain and/or enlargement. In a few cases, the diagnosis was confirmed after the work-up of other symptoms including infertility (3 patients), metastases (2 patients), hydrocele (2 patients), back pain (1 patient) or weight loss (1 patient). No case had an association with either changes in testosterone, estrogen levels or clinical signs of gynecomastia. Sonography details were infrequently reported like calcification in one and heterogeneous appearance in another patient. Serum tumor markers of germ cell cancers including alpha-fetoprotein (AFP), beta-human chorionic gonadotropin (bHCG), and lactate dehydrogenase (LDH) were reported in 46, 47 and 25 patients and elevated in 1, 2, and 3 patients, respectively.

Local treatment and pathological findings

The majority of orchiectomies was performed without frozen section analyses (96%). Finally, all patients underwent orchiectomy and no single case testis-sparing surgery (TSS). One patient with metastatic disease deteriorated quickly before orchiectomy was performed. The median

tumor mass size was 70mm (IQR 50-95, range 11-280). The most frequently described pathological findings included high mitotic activity in 30 patients, angiolymphatic invasion in 25 patients, CD117 in 25 patients, intratubular growth in 21 patients, necrosis in 20 patients, pleomorphism in four patients whereas other findings like margins status, extracapsular extension, spermatic cord invasion, atypias, calcifications, p53, Ki67, Vimentin, Sall4 expression were reported in three patients or less. Histological variants included sarcoma or anaplastic subtypes and were present in 15 and 7 patients respectively.

Adjuvant therapies

Six patients with localised disease were treated with adjuvant therapies. The first patient was treated with local and abdominal radiotherapy and chemotherapy (with vincristine, actinomycin D and cyclophosphamide) and remained disease-free at 2 months (Narang et al. 2012). The second patient was treated with adjuvant cisplatin, vinblastine and bleomycin but died because of a septic shock during chemotherapy (Musa et al. 1998). The third patient received 2 cycles of carboplatin but recurred 10 months after chemotherapy (Steiner et al. 2006). The fourth patient also received 2 cycles of carboplatin but remained disease-free after a follow-up of 8 months (Dundr et al. 2007). The fifth patient was treated with adjuvant radiotherapy to the pelvis and subclavicular region (30 Gray) (Caty et al. 1997). This patient died one year later because of a gastric lymphoma. The sixth patient was treated with adjuvant “20 sessions of radiotherapy” and no disease recurrence was noted (True et al. 1988).

Metastatic spread at initial staging and disease recurrence during follow-up

Any metastatic disease at any time point was reported in 14/146 (10%, 95% CI 5% to 15%) patients. Initial and follow-up staging was reported in 107/146 patients. At initial staging, metastases were present in 8/107 (7%) patients of which 6 had several sites of metastases [retroperitoneal lymph nodes (RPLN) (5), lung (2), liver (2), bone (1) and brain (1)] (Figure 2). Data regarding follow-up was available in 89 patients. Median follow-up time was 44 months (IQR 12-96, range 1-324). During follow-up, 1 patient developed local recurrence and 1 patient a

metachronous contralateral tumor. Metastatic relapse in patients with localised disease at diagnosis was reported in 6/89 (7%) at the following locations RPLN (4), lung (4), liver (1) and brain (1). Metastases during follow-up were diagnosed after a median follow-up of 5.5 months (range 2-21 months).

Risk factors and treatment of metastatic disease

Patients with sarcoma or anaplastic subtypes showed metastatic disease in 47 % and 29 % respectively (Table 1). Compared to pure spermatocytic tumors, patients with histological variants (sarcoma or anaplastic subtype) were more likely to have metastatic disease (6/124 (5%) VS 9/22 (41%), $p < 0.001$) (Table 2) Patients with metastatic disease had larger primary tumors (92.5 vs 67.5mm, $p = 0.05$). Survival of patients with metastatic disease ranged from 1 to 25 months. Radiotherapy or systemic therapy showed only partial responses in a few patients (Table 3). One patient with retroperitoneal relapse 10 months after orchiectomy and 2 cycles of adjuvant chemotherapy underwent laparoscopic RPLN-dissection. The specimen revealed spermatocytic tumor histology and adjuvant BEP was administered and the patient remained free of disease 3 years after chemotherapy (Steiner et al. 2006).

Risk of bias assessment

Our risk of bias assessment using the Cochrane Tool of Risk of Bias showed high risk of bias for the included records due to fact that all available literature on the subject exclusively consists of retrospective case reports and small case series. Sources of bias included publication bias for cases with rare histologic variants or metastatic disease, lack of a priori protocols, missing data and inconsistency in outcome reporting (Supplementary Table 2). Predefined protocols (PRISMA and Prospero) were used to identify and deter a possible reporting bias by determining our research question beforehand.

Discussion

This systematic review summarizes the available literature regarding local and systemic management, staging and follow-up for patients with testicular spermatocytic tumors.

However, as spermatocytic tumors of the testis are rare, the published literature consists only of retrospective studies, so that this review is prone to bias. Because spectacular and rare clinical findings are more likely to be published, this literature synthesis and especially the high incidence of metastatic disease of 7% is likely positively affected by publication bias. For example Hu et al described sarcoma subtypes in only 2 out of 83 spermatocytic tumors (2%), whereas our review identified 22 out of 146 (15%) thus pointing to a selection bias of this review. Furthermore, staging was not reported in all cases and may further influence the estimated proportions regarding metastatic disease and relapse. Our search criteria were designed and reviewed both by clinicians and librarians and were predefined in a peer-reviewed protocol. However, it is possible that not all potentially relevant studies were identified because of undetected imprecision of our search strategy, which would have to be classified as another potential source of bias. In absence of larger and/or prospective studies, the current analysis is unique and may help physicians to select the most appropriate treatment modality for patients with spermatocytic tumors of the testis. Until more evidence becomes available, our results represent a basis for informed decision making in everyday clinical practice. Due to the absence of available retrospective or ongoing prospective trials, we recently opened the OrphAn Testis Histologies (OATH) Registry and encourage collaborators to contribute data of patients with rare testis cancer histologies (<http://bit.ly/OATH-registry>).

Despite all limitations, we believe that our results may facilitate decision making in a disease in which no clinical trials will be conducted in the near future and several observations can be made and clinical implications be suggested. First, patients with testicular spermatocytic tumors have a median age of 56 years, a large median tumor size of 70mm, present nearly exclusively with local symptoms of testicular tumors and tumor markers of germ cell tumors are

hardly ever elevated. Second, although spermatocytic tumors are by definition not associated with GCNIS, the large average tumor size and absence of limited with testis-sparing surgery, orchiectomy represents the gold standard. Orchiectomy instead of testis sparing surgery should also be standard of care because in our experience of the difficulty to differentiate spermatocytic tumors from pure seminoma in frozen section analysis. Third, we listed for the first time the most common sites of metastatic disease, which may guide staging modalities. Patients should be staged with computerized tomography (CT) including the chest, abdomen and pelvis as metastases at initial staging or at relapses have been described in these locations. Based on our findings that all patients with recurring metastatic aggressive disease were diagnosed within the first years, follow-up with CT could probably be limited to the first years. Fourth, the role of adjuvant chemotherapy and radiotherapy remains ill-defined and cannot be recommended. Fifth, surgical resections and chemotherapy was the only treatment sequence that was able to induce complete response in one metastatic patient whereas chemotherapy alone did not lead to complete response. Therefore, in contrast to germ cell cancers, spermatocytic tumors may represent a chemotherapy resistant entity which may also be responsible for the very poor prognosis of patients with metastatic disease of only a few months to years. Overall, the level of evidence to recommend any therapy option is minimal and recommendations rely mainly on expert knowledge. Therefore, we encourage clinicians to discuss patients with metastatic disease with an expert center including review of histopathology and imaging and to discuss either aggressive surgical approaches and/or chemotherapy.

Conclusion

The available literature provides only scarce evidence regarding optimal management of patients with spermatocytic tumors. This so far largest retrospective collection provides several recommendations based on a low level of evidence and let us conclude that spermatocytic tumors are not only a biologically but also clinically distinct entity, should not be managed like germ cell cancers and require thorough multidisciplinary evaluation, especially in advanced

stages.. To further expand those recommendations, we set-up a multicenter registry and invite other centers to contribute their experiences in patients with spermatocytic tumors.

Declarations

Ethical approval

This article does not contain any studies with human participants performed by any of the authors.

Research involving Human Participants and/or Animals

Not applicable

Informed consent

Not applicable

Data availability

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

Conflict of Interest:

The authors declare that they have no conflict of interest.

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Figure legends

Figure 1 Flow chart of the study selection process

Figure 2 Anatomical locations of metastases in 107 patients of which staging was reported and 89 with follow-up examinations. Whereas 8/107 patients had metastatic disease at initial staging, 6/89 patients developed metastatic disease during follow-up.